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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/975,120
		Filing Date	10/09/2001
		First Named Inventor	Joseph F. Brilando
		Group Art Unit	2165
		Examiner Name	S. Wasylchak
Total Number of Pages in This Submission	7	Attorney Docket Number	035820-0006CON

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) (5 sheets)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notice to File Corrected Appln Papers Certificate of Mailing
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Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stacey J. Farmer, Ph.D., Reg. No. 42,526 Skadden, Arps, Slate, Meagher & Flom LLP	
Signature		
Date	01/28/2002	01/28/2002

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,120	10/09/2001	Joseph Frank Brilando	035820-0006CON

**CONFIRMATION NO. 3529**

29580

SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP  
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PALO ALTO, CA 94301

**FORMALITIES LETTER**



\*OC000000007123396\*

Date Mailed: 11/27/2001

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**

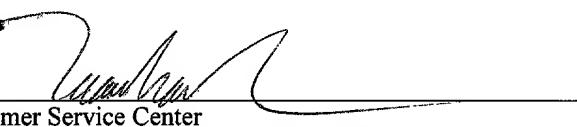
***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Brilando

Docket No.

035820-0006CON

Serial No.  
09/975,120

JAN 28 2002 Filing Date

October 9, 2001

Examiner  
S. WasylchakGroup Art Unit  
2165

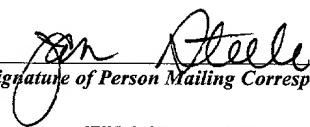
Invention:

**Method for Managing the Level and Reducing the Volatility of a Company's Share Price**

I hereby certify that this **Transmittal Form; 5 sheets sub. drawings; Notice to File Corrected Appln Papers**  
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

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